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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	. ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/697,186	10/30/2003	Alex Melament	IL920030038US1	8171
75	90 10/19/2006	•	EXAM	INER
Stephen C. Kaufman			CAMPOS, YAIMA	
Intellectual Prop	perty Law Dept.			
IBM Corporation			ART UNIT	PAPER NUMBER
P. O. Box 218			2185	
Yorktown Heights, NY 10598			DATE MAILED: 10/19/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
-	10/697,186	MELAMENT ET AL.				
Office Action Summary	Examiner	Art Unit				
	Yaima Campos	2185				
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address				
Period for Reply		0) 00 714071/ (00) 0 41/0				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on 15 Oc	<u>ctober 2006</u> .	•				
•—						
3) Since this application is in condition for allowar						
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.				
Disposition of Claims						
4)⊠ Claim(s) <u>1-3 and 5-14</u> is/are pending in the app	plication					
4a) Of the above claim(s) is/are withdraw						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-3 and 5-14</u> is/are rejected.						
7) Claim(s) is/are objected to.		•				
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examine	r					
10) The drawing(s) filed on is/are: a) acce		Examiner.				
Applicant may not request that any objection to the						
Replacement drawing sheet(s) including the correct						
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. § 119		,				
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a))-(d) or (f).				
1. Certified copies of the priority documents	s have been received					
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the prior						
application from the International Bureau						
* See the attached detailed Office action for a list	of the certified copies not receive	ed.				
Attachment(s)	•					
1) Notice of References Cited (PTO-892)	4) Interview Summary					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	Paper No(s)/Mail D 5) Notice of Informal F					
Paper No(s)/Mail Date	6) Other:	·				

Art Unit: 2185

DETAILED ACTION

1. The examiner acknowledges the applicant's submission of the amendment dated August 14, 2006. At this point no claims have been amended and claim 4 has been cancelled. Thus, claims 1-3 and 5-14 are pending in the instant application.

I. REJECTIONS NOT BASED ON PRIOR ART

Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. <u>Claim 1-3 and 5-14</u> are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 4. As per claims 1-3 and 5-14, these claims recite the terms "high reliability high performance storage medium," "high reliability low performance storage medium," and "low reliability high performance storage medium" which are relative terms which render the claim indefinite. The terms "high reliability high performance storage medium," "high reliability low performance storage medium," and "low reliability high performance storage medium," are not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. Applicant's own Specification [discloses "the terms high and low should be relative to each other" (Page 5, lines 10-10)]. According to the broadest reasonable interpretation of the claims, the

examiner interprets these terms to read – storage medium-- and have been treated as such for the rest of this office action. Applicant might consider further defining these terms within the scope of the claim language.

II. REJECTIONS BASED ON PRIOR ART

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- Claims 1, and 6-14 are rejected under 35 U.S.C. 102(e) as being anticipated by 6. Ohran (US 2004/0034752).
- 7. As per claims 1, 6 and 13-14, Ohran discloses a method of storage management, the method comprising:

"storing data on a high reliability high performance storage medium;" ["data is periodically written to attached primary mass storage" (Page 8, Par. 0074; Figure 3)]

"backing up said data on a high reliability low performance storage medium;" [data is mirrored/backed up to secondary mass storage system (Page 5, Par. 0048; Page 9, Paragraph 0084; Figure 3) and is also copied to cache holding area (Page 8, Par. 0076; Page 9, Par. 0080 Figure 3)]

Page 4

Art Unit: 2185

"and after said backing up, copying at least some of said data from said high reliability high performance storage medium to a low reliability high performance storage medium and freeing space occupied thereby on the high reliability high performance storage medium" [Ohran discloses this concept as "cache holding area" and wherein updates are placed in cache holding area (Page 8, Par. 0076; Page 9, Par. 0080; Figure 3) and explains erasing updates in cache holding area after they have been copied/mirrored to secondary storage (Page 8, Par. 0082,)].

- 8. As per claims 7 and 8, Ohran discloses the system of claim 6, [See rejection to claim 6 above] further comprising: "a storage policy sub-unit configured to determine when to backup data on said low performance high reliability storage medium" wherein "said storage policy sub-unit is also configured to determine when to transfer data from said high performance high reliability storage medium to said high performance low reliability storage medium" [Ohran discloses this concept (Page 6, Par. 0053; Page 8, Par. 0070, 0072, 0075)].
- 9. As per <u>claim 9</u>, Ohran discloses the system of claim 6, [See rejection to claim 6 above] wherein "said high performance low reliability storage medium is higher volume than said high performance high reliability storage medium" [Ohran discloses this concept (Page 5, Par 52; Page 8, Par. 0071; Page 3, Par. 0027)].
- 10. As per <u>claim 10</u>, Ohran discloses the system of claim 6, [See rejection to claim 6 above] wherein "said high reliability high performance storage medium is configured to have a mean time between failure which is at least ten times higher than a mean time between failure which said low reliability high performance storage medium is configured to have" [Ohran discloses this concept as secondary storage is provided as

Art Unit: 2185

a mirror of primary storage such that when primary storage fails, which is more likely to fail than secondary storage, data can be efficiently recovered from secondary storage (Page 2, Par. 0017-0018)].

- 11. As per <u>claim 11</u>, Ohran discloses the system of claim 6, [See rejection to claim 6 above] wherein "said high reliability high performance storage medium is configured to allow at least ten times as many random read/write/rewrite operations per unit of time as said high reliability low performance storage medium is configured to allow" [Ohran discloses this concept as "cache holding area can dramatically improve the performance of accessing mirrored or archived data" (Page 3, Par. 0026)].
- 12. As per claim 12, Ohran discloses the system of claim 6, [See rejection to claim 6 above] further comprising: "a third level of storage comprising at least one low performance high reliability storage media disconnected from said high performance high reliability medium" [Ohran discloses this concept as "the lower band width requirement make the current invention more practical for use where a secondary system is located remotely form the primary system" (Pages 4-5, Par. 0045)].
- 13. Claims 2 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ohran (US 2004/0034752) in view of Mizrachi et al. (US 2003/0033486).
- 14. As per claims 2 and 3, Ohran discloses "the method of claim 1," [See rejection to claim 1 above], but fails to disclose expressly that "data is classified according to characteristics thereof and is backed up at a rate that is dependent on the respective characteristics of said data" wherein "said data is backed up at a rate dependent on at least one from a group including at least: an occupancy level of said high reliability high

Art Unit: 2185

performance storage, availability of back-up media and access to said high reliability low performance storage medium."

Mizrachi teaches a memory management method/system wherein "data is classified according to characteristics thereof and is backed up at a rate that is dependent on the respective characteristics of said data" wherein "said data is backed up at a rate dependent on at least one from a group including at least: an occupancy level of said high reliability high performance storage, availability of back-up media and access to said high reliability low performance storage medium." Mizrachi discloses this concept as ["a method and apparatus for using estimated communication rates in a cache replacement algorithm" (Page2, paragraph 0017, lines 2-4), teaches that "a rate estimator, coupled to the mapper, dynamically determines relative rates of active connections. The rates are used to classify the connections as fast or slow" (Page2, paragraph 0018, lines 13-16) and also discloses that "partitioning the cache memory includes partitioning the cache memory into first and second areas of the cache memory" (Page3, paragraph 0073) and specifies the existence of "first and second cache memories, coupled to receive and hold context information from an external memory with respect to a plurality of tasks, each task activated by one or more activating events, so that the context information is available for access by a processor in performing the tasks" (Page3, paragraph 0029)].

Ohran (US 2004/0034752) and Mizrachi et al. (US 2003/0033486) are analogous art because they are form the same field of endeavor of computer memory management.

Art Unit: 2185

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify the backup storage system as taught by Ohran and further classify and migrate data based on transfer rate information as taught by Mizrachi.

The motivation for doing so would have been because Mizrachi teaches that

["using a partitioned cache architecture based on rate estimation minimizes slow

external memory accesses by assuring that context information for faster connection

resides in fast internal memory" (Page3, paragraph 0024)].

Therefore, it would have been obvious to combine Mizrachi et al. (US 2003/0033486) with Ohran (US 2004/0034752) for the benefit of creating a memory management system/method to obtain the invention as specified in claims 2-3.

- 15. <u>Claim 5</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over Ohran (US 2004/0034752).
- 16. As per <u>claim 5</u>, Ohran discloses the method of claim 1, [See rejection to claim 1 above] but does not disclose expressly that "said data include medical images."

At the time of the invention, it would have been obvious to one of ordinary skill in the art to "include medical images" in the data storage system as taught by Ohran. The motivation for doing so would have been because Ohran discloses ["an advancement in the art to have a mirroring and archiving system that could ensure logical consistency of the data protected. It would also represent an advancement in the art to have a mirroring and archiving system that could function either locally or remotely using a low bandwidth communication link" (Page 3, Par. 0021) and explains the invention is not limited to the described embodiments (Page 11, Par. 0095)]. Therefore, the storage system as described by Ohran may be used to store and

Application/Control Number: 10/697,186 Page 8

Art Unit: 2185

backup/mirror any kind of data, even when this data comprises very large amounts of data such as medical images.

III. CLOSING COMMENTS

Conclusion

a. STATUS OF CLAIMS IN THE APPLICATION

17. The following is a summary of the treatment and status of all claims in the application as recommended by M.P.E.P. 707.07(i):

a(1) CLAIMS REJECTED IN THE APPLICATION

18. Per the instant office action, <u>claims 1-3 and 5-14</u> have received an action on the merits and are subject of a non-final rejection.

a(2) CLAIMS NO LONGER IN THE APPLICATION

19. Claim 4 was cancelled by the amendment dated August 14, 2006.

b. DIRECTION OF FUTURE CORRESPONDENCES

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yaima Campos whose telephone number is (571) 272-1232 and email address is Yaima.Campos@uspto.gov. The examiner can normally be reached on Monday to Friday 8:30 AM to 5:00 PM.

Art Unit: 2185

Page 9

IMPORTANT NOTE

21. If attempts to reach the above noted Examiner by telephone or email are unsuccessful, the Examiner's supervisor, Mr. Sanjiv Shah, can be reached at the following telephone number: Area Code (571) 272-4098.

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

October 14, 2006

Yaima Campos

Examiner
Art Unit 2185

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